

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Daniel B. Roitman, et al.

Serial No.: N/A

Examiner: N/A

Filing Date: Herewith

Group Art Unit: N/A

Title: NEAR-FIELD AND FAR-FIELD ENCODING AND SHAPING OF MICROBEADS FOR BIOASSAYS

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were disclosed in Patent Application No. 10/379,107 filed 03/04/2003, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

"Express Mail" label no. **EV022276458US**

Date of Deposit **09/24/03**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

By Jacob N. Erlich

Typed Name: Jacob N. Erlich

Respectfully submitted,

Daniel B. Roitman, et al.

By Jacob N. Erlich

Jacob N. Erlich

Attorney/Agent for Applicant(s)  
Reg. No. **24,338**

Date: **09/24/2003**

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	<b>10030589-1</b>	N/A
	APPLICANT <b>Daniel B. Roitman et al.</b>	
	FILING DATE <b>Herewith</b>	GROUP <b>N/A</b>

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
	*	4,390,452	06/28/83	Stevens
	*	5,266,222	11/30/93	Willis et al.
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	*	5,568,555	10/22/96	Shamir
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		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	*	WO 00/16893	03/30/00	Dames et al.		x
		WO 00/63695 ✓	10/26/00	De Smedt et al.		X
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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	*	I. Sander, White paper: Fluorescent Multilayer Optical Data Storage. Retrieved from <a href="http://www.semiconductorfabtech.com/datatech/journals/edition6/section1.shtml">www.semiconductorfabtech.com/datatech/journals/edition6/section1.shtml</a> . Last updated 2000.
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EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
	*	6,327,410	12/04/01	Walt et al.
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	*	6,338,935	01/15/02	Alperovich et al.
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					YES	NO

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	*	D. J. Resnick and D. P. Mancini. Release Layers for Contact and Imprint Lithography. Retrieved from <a href="http://www.e-insite.net/semiconductor/index.asp?layout=aarticle&amp;articleid=CA218639">www.e-insite.net/semiconductor/index.asp?layout=aarticle&amp;articleid=CA218639</a> . Last updated 2003.
	*	Low-Surface-Energy Photoresist as a Medium for Optical Replication. LLE Review, Vol. 66. Jan-Mar 1996.
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*	R. H. Lyons. A Molecular Biology Glossary. July 2, 1998. Retrieved from <a href="http://www.seqcore.brcf.med.umich.edu">www.seqcore.brcf.med.umich.edu</a> .
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FORM PTO-1449

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	K. Braeckmans et al. Scanning the Code. Modern Drug Discovery. February 2003, pp. 27-32.
	U.S. patent application serial no. 10/379,107 entitled NEAR-FIELD AND FAR-FIELD ENCODING OF MICROBEADS FOR BIOASSAYS, filed March 4, 2003, Roitman et al. (parent to the present application).

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